# Benjamin C. Bates

Harvard Law School, Program on Corporate Governance 1585 Massachusetts Ave., Cambridge, MA 02138

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#### ACADEMIC POSITIONS

#### Harvard Law School

Research and Teaching Fellow, Program on Corporate Governance, 2023-present

Research Assistant to Professors Lucian Bebchuk and Alma Cohen

Teaching Fellow to Professor Lucian Bebchuk

Courses: Corporate & Capital Markets Law & Policy (Fall 2023, 2024, 2025)

Stakeholder Capitalism (Fall 2023, 2024, 2025)

#### **EDUCATION**

#### Harvard Law School

J.D., magna cum laude, 2023

Awards: John M. Olin Writing Prize in Law and Economics

Hyun Park Fellowship in Law and Economics

Honors: Dean's Scholar Prizes in Contracts, Torts, Legislation and

Regulation, Empirical Law & Finance Seminar, Mergers &

Acquisitions Workshop, and Global Antitrust

Activities: Harvard Law Review, Editor

#### **Brigham Young University**

B.S., magna cum laude in Finance, 2020

Japanese Minor

#### TEACHING/RESEARCH INTERESTS

**Primary:** Business Associations, Securities Regulation, Contracts, Corporate Finance,

**Torts** 

Secondary: Financial Institutions, Antitrust, Law and Economics

#### PUBLICATIONS AND WORKING PAPERS

Retail Access to Private Markets (2025) (job market paper)

Featured on Bloomberg, Fund Board Views, The Lever, HLS Forum on Corp. Gov.

Cited in a speech by SEC Commissioner Crenshaw

*Rewriting the Rules for Corporate Elections*, 100 NYU L. REV. 635 (2025)

Featured on Bloomberg Law, Shareholder Primacy Podcast, The Activist Investor, DealLawyers.com, HLS Forum on Corp. Gov.

Cited in Wright v. Farello, No. 2024-0306, 2025 WL 3012956 (Del. Ch. Oct. 27, 2025)

Is SEC Registration Costly for Private Fund Advisers? (2025)

Featured on HLS Forum on Corp. Gov.

#### WORKS IN PROGRESS

Delayed Shareholder Votes (with J. Frankenreiter)

#### TEACHING EXPERIENCE

#### **Harvard Law School**

Corporate & Capital Markets Law & Policy (Teaching Fellow to Professor Lucian Bebchuk)

Stakeholder Capitalism (Teaching Fellow to Professor Lucian Bebchuk)

Contracts (Teaching Fellow to Professor Oren Bar-Gill)

### **Brigham Young University**

Investments (Teaching Assistant to Professors Darren Aiello and Brian Boyer) Financial Derivatives (Teaching Assistant to Professor Todd Mitton)

#### Presentations

#### **Retail Access to Private Markets**

Miami Law & Finance Workshop, Virtual (November 2025)

Conference on Empirical Legal Studies, Washington, DC (October 2025)

HLS Law & Economics Seminar, Cambridge, MA (October 2025)

National Business Law Scholars Conference, Los Angeles, CA (June 2025)

HLS Program on Corporate Governance Workshop, Cambridge, MA (June 2025)

### **Rewriting the Rules for Corporate Elections**

American Law and Economics Association, New York, NY (May 2025)

NYU Institute for Corporate Governance & Finance Spring Roundtable, New York, NY (May 2025)

HLS Law, Economics, & Organizations Seminar, Cambridge, MA (November 2024)

National Business Law Scholars Conference, Davis, CA (June 2024)

HLS Program on Corporate Governance Workshop, Cambridge, MA (January 2024)

### Is SEC Registration Costly for Private Fund Advisers?

Conference on Empirical Legal Studies, Atlanta, GA (November 2024) American Law and Economics Association, Ann Arbor, MI (May 2024) HLS Law & Economics Seminar, Cambridge, MA (March 2023) HLS Program on Corporate Governance Workshop, Cambridge, MA (March 2023)

### OTHER EMPLOYMENT

### Wilmer Cutler Pickering Hale & Dorr LLP

Summer Associate, 2022

### U.S. Attorney's Office, District of Massachusetts

Intern, Securities, Financial, and Cyber Fraud Unit, 2021

#### **SKILLS**

**Languages**: Japanese (conversational) **Programming**: R, Python, LaTeX

#### BAR ADMISSION

Massachusetts (2023–present)

# REFERENCES

### Lucian Bebchuk

Harvard Law School 617-495-3138 bebchuk@law.harvard.edu

### **Jared Ellias**

Harvard Law School 617-496-4245 jellias@law.harvard.edu

### **Steven Shavell**

Harvard Law School 617-495-3668 shavell@law.harvard.edu

# **Howell Jackson**

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### Alma Cohen

Harvard Law School and Tel Aviv University 617-496-7353 alcohen@law.harvard.edu